



#4  
Case Name SP01-041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Matthew J. Dejneka  
Adam J. Ellison  
Dmitri V. Kuksenkov  
John D. Minelly

Serial No: 09/808,270

Group Art Unit: 2874

Filed: March 14, 2001

Examiner: TBA

For: CLADDING-PUMPED 3-  
LEVEL FIBER  
LASER/AMPLIFIER

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of an Official Filing Receipt which was received from the U.S.  
Patent and Trademark Office.

The Receipt has been reviewed, and an error has been found as follows:

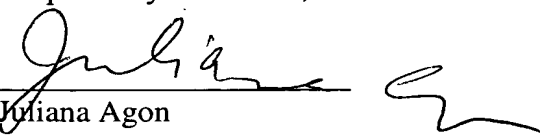
**Please add the following inventors: Adam J. Ellison, Dmitri V. Kuksenkov,  
John D. Minelly, Carlton M. Truesdale, and Luis A. Zenteno**

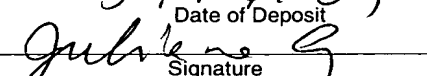
It is respectfully requested that a Corrected Official Filing Receipt be issued as soon  
as possible.

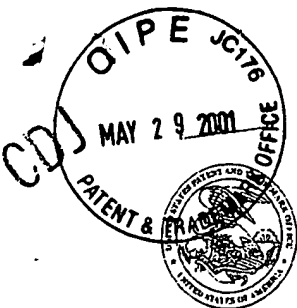
Since the error is on the part of the Patent Office, no fee is necessary.

If there are any other fees due in connection with the filing of this Request, please  
charge the fees to Deposit Account No. 03-3325.

Respectfully submitted,

  
Juliana Agon  
Registration No.: 33,468  
Phone: (607) 974-6574  
Date: 5/24/01

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail in an envelope addressed to Assistant  
Commissioner of Patents and Trademarks, Washington,  
DC 20231 on 5/24/01  
Date of Deposit  
  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/808,270	03/14/2001	2874	854	SP01-041	12	28	3

JA  
22928  
CORNING INCORPORATED  
SP-TI-3-1  
CORNING, NY 14831

RECEIVED MAY 21 2001

CONFIRMATION NO. 3376

## FILING RECEIPT



\*OC000000006080548\*

Date Mailed: 05/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dejneka Matthew, Residence Not Provided;

~~Adam J. Ellison, Dmitri V. Kukshenkov, John D. Minelly, Carlton M. Truesdale,~~  
Domestic Priority data as claimed by applicant ~~Luis A. Zenteno~~

## Foreign Applications

If Required, Foreign Filing License Granted 05/15/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

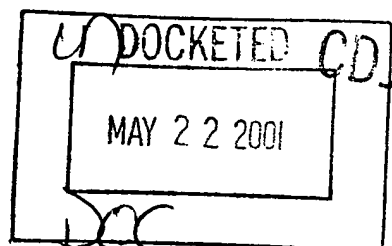
Early Publication Request: No

## Title

Cladding-pumped 3-level fiber laser/amplifier

## Preliminary Class

385





PATENT  
Attorney Docket No.: SP01-041

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Examiner: TBA

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LEVEL FIBER  
LASER/AMPLIFIER

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

ATTN: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated May 16, 2001, Applicant submits a Declaration for filing in the above-identified application, the required surcharge of \$130.00, and a copy of the Notice to File Missing Parts.

Please associate the enclosed Declaration with the above-identified application.

Authorization is given to charge the above-noted fees to Deposit Account No. 03-3325. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our deposit account.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, DC 20231 on 5/24/01  
Date of Deposit  
Juliana Agon  
Juliana Agon Signature

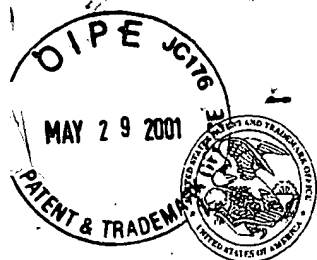
Respectfully submitted,

Juliana Agon  
Juliana Agon

Registration No.: 33,468

Phone: (607) 974-6574

Date: 5/24/01



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/808,270	03/14/2001	Dejneka Matthew	SP01-041

22928  
CORNING INCORPORATED  
SP-TI-3-1  
CORNING, NY 14831

CONFIRMATION NO. 3376

## FORMALITIES LETTER



\*OC00000006080549\*

Date Mailed: 05/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

05/31/2001 VTRUONG1 00000053 033325 09808270

01 FC:105 130.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE